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**INFORMATION DISCLOSURE
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Sheet 1 of 3

Confirmation Number	2971
Application Number	10/724,305
Filing Date	11/29/2003
First Named Inventor	Javier Castaneda
Group Art Unit	3733
Examiner Name	Anuradha Ramana
Attorney Docket Number	HAN-027 (DEP5687USCIP3)

U.S. PATENT DOCUMENTS

Exam Initials	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number	Kind Code ² (if known)			
AL		2526959		Lorenzo	Oct-50	
		3561437		Orlich	Feb-71	
		3741205		Markolf et al.	Jun-73	
		4506662		Anapliotis	Mar-85	
		4565193		Streli	Jan-86	
		4794919		Nilsson	Jan-89	
		4867144		Karas et al.	Sep-89	
		5006120		Carter	Apr-91	
		5015248		Burstein et al.	May-91	
		5151103		Tepic et al.	Sep-92	
		5197966		Sommerkamp	Mar-93	
		5304180		Slocum	Apr-94	
		5462547		Weigum	Oct-95	
		5527311		Procter et al.	Jun-96	
		5586985		Putnam et al.	Dec-96	
		5601553		Trebing et al.	Feb-97	
		5616144		Yapp et al.	Apr-97	
		5665088		Gil et al.	Sep-97	
		5676667		Hausman	Oct-97	
		5709682		Medoff	Jan-98	
		5709686		Talos et al.	Jan-98	
		5718705		Sammarco	Feb-98	
		5749872		Kyle et al.	May-98	
AL		5772662		Chapman et al.	Jun-98	

Examiner
Signature*Anuradha Ramana*Date
Considered*6/25/02*

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		Number	Kind Code ² (if known)			
AK		5853413		Carter et al.	Dec-98	
		5931839		Medoff	Aug-99	
		5938664		Winquist et al.	Aug-99	
		5941878		Medoff	Aug-99	
		5968047		Reed	Oct-90	
		6093201		Cooper et al.	Jul-00	
		6096040		Esser	Aug-00	
		6221073		Weiss et al.	Apr-01	
		6270499		Leu et al.	Aug-01	
		6283969		Grusin et al.	Sep-01	
		6355041		Martin	Mar-02	
		6355042		Winquist et al.	Mar-02	
		6358250		Orbay	Mar-02	
		6379359		Dahners	Apr-02	
		6468278		Muckter	Oct-02	
		6508819		Orbay	Jan-03	
		6527775		Warburton	Mar-03	
		6623486		Weaver et al.	Sep-03	
		6712820		Orbay	Mar-04	
		6767351		Orbay et al.	Jul-04	
		6821278		Frigg et al.	Nov-04	
		6866665		Orbay	Mar-05	
AK		7044951		Medoff et al	May-06	
		7090676		Huebner et al.	Aug-06	

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AR		2001/0011172		Orbay et al.	Aug-01	
		2002/0032446		Orbay	Mar-02	
		2002/0156474		Wack et al.	Oct-02	
		2003/0073999		Putnam	Apr-03	
		2003/0105461		Putnam	Jun-03	
		2003/0153918		Putnam	Aug-03	
		2004/0059334		Weaver et al.	Mar-04	
		2004/0059335		Weaver et al.	Mar-04	
		2004/0097937		Pike et al.	May-04	
		2004/0167522		Niederberger et al.	Aug-04	
AR		2005/0010226		Grady et al.	Jan-05	
		2005/0085818		Huebner	Apr-05	
		D443060		Benirschke et al.	May-01	

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Exam Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
AR		OSADA ET AL, "Biomechanics in Uniaxial Compression of Three Distal Radius Volar Plates", the Journal of Hand Surgery (2004).	
AR		ORBAY, "Surgical Innovations; Advances in Distal Radius Fixation" (March/April 2003).	

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AK		388000		Rider	8/14/1888	
		1151861		Brumback	Aug-15	
		2056688		Peterka et al.	Oct-36	
		2526959		Lorenzo	Oct-50	
		3025853		Mason	Mar-62	
		3236141		Smith	Nov-66	
		3709218		Halloran	Jan-73	
		3717146		Halloran	Feb-73	
		3842825		Wagner	Oct-74	
		3939498		Lee et al.	Feb-76	
		4011863		Zickel	Mar-77	
		4119092		Gil	Oct-78	
		4135507		Harris	Jan-79	
		4153953		Grobbelaar	May-79	
		4169470		Ender et al.	Oct-79	
		4172452		Forte et al.	Oct-79	
		4408601		Wenk	Oct-83	
		4467793		Ender	Aug-84	
		4473069		Kolmert	Sep-84	
		4483335		Tornier	Nov-84	
		4488543		Tornier	Dec-84	
		4493317		Klaue	Jan-85	
		4506662		Anapliotis	Mar-85	
AK		4565193		Streli	Jan-86	

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<i>AL</i>		4651724		Berentey et al.	Mar-87	
<i>↑</i>		4712541		Harder et al.	Dec-87	
		4733654		Marino	Mar-88	
		4776330		Chapman et al.	Oct-88	
		4794919		Nilsson	Jan-89	
		4800874		David et al.	Jan-89	
		4915092		Firica et al.	Apr-90	
		4923471		Morgan	May-90	
		4955886		Pawluk	Sep-90	
		5013314		Firica et al.	May-91	
		5035697		Frigg	Jul-91	
		5041113		Biedermann et al.	Aug-91	
		5057110		Kranz et al.	Oct-91	
		5085660		Lin	Feb-92	
		5127912		Ray et al.	Jul-92	
		5151103		Tepic et al.	Sep-92	
		5190544		Chapman et al.	Mar-93	
		5197966		Sommerkamp	Mar-93	
		5201733		Etheredge, III	Apr-93	
		5275601		Gogolewski et al.	Jan-94	
		5352228		Kummer et al.	Oct-94	
		5352229		Goble et al.	Oct-94	
<i>✓</i>		5356253		Whitesell	Oct-94	
<i>AK</i>		5356410		Pennig	Oct-94	

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AK		5364399		Lowery et al.	Nov-94	
↑		5382248		Jacobson et al.	Jan-95	
		5458654		Tepic	Oct-95	
		5472444		Huebner et al.	Dec-95	
		5484438		Pennig	Jan-96	
		5486176		Hildebrand et al.	Jan-96	
		5527311		Procter et al.	Jun-96	
		5531746		Errico et al.	Jul-96	
		5536127		Pennig	Jul-96	
		5549612		Yapp et al.	Aug-96	
		5558674		Heggeness et al.	Sep-96	
		5578035		Lin	Nov-96	
		5586985		Putnam et al.	Dec-96	
		5591168		Judet et al.	Jan-97	
		5601553		Trebing et al.	Feb-97	
		5603715		Kessler	Feb-97	
		5662655		Laboureau et al.	Sep-97	
		5665086		Itoman et al.	Sep-97	
		5665087		Huebner	Sep-97	
		5665089		Dall et al.	Sep-97	
		5669915		Caspar et al.	Sep-97	
		5676667		Hausman	Oct-97	
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AK		5709686		Talos et al.	Jan-98	

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		5772662		Chapman et al.	Jun-98	
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		5807396		Raveh	Sep-98	
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		5951878		Medoff	Aug-99	
		5954722		Bono	Sep-99	
		5964763		Incavo	Oct-99	
		5967046		Muller	Oct-99	
		5968046		Castleman	Oct-99	
		6007535		Rayhack et al.	Dec-99	
		6010505		Asche et al.	Jan-00	
		6123709		Jones	Sep-00	
		6129730		Bono et al.	Oct-00	
AK		6146384		Lee et al.	Nov-00	

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		6228285		Wang et al.	May-01	
		6231576		Frigg et al.	May-01	
		6235034		Bray	May-01	
		6238395		Bonutti	May-01	
		6241736		Sater et al.	Jun-01	
		6248109		Stoffella	Jun-01	
		6258089		Campbell et al.	Jul-01	
		6270499		Leu et al.	Aug-01	
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		6355043		Adam	Mar-02	
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		6454769		Wagner et al.	Sep-02	
		6454770		Klaue	Sep-02	
		6458133		Lin	Oct-02	
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		6645212		Goldhahn et al.	Nov-03	
		6669700		Farris et al.	Dec-03	
		6679883		Hawkes et al.	Jan-04	
		6692503		Foley	Feb-04	
		6706046		Orbay et al.	Mar-04	
		6712820		Orbay	Mar-04	
		6719758		Beger et al.	Apr-04	
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		6730091		Pfefferle et al.	May-04	
		6755831		Putnam	Jun-04	
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		6767351		Orbay et al.	Jul-04	
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		6974461		Wolter	Dec-05	
		7090676		Huebner et al.	Aug-06	
		7153309		Huebner et al.	Dec-06	
AL		2001/0001119		Lombardo	May-01	

Examiner
Signature

Anuradha Ramana

Date
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6/25/02

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STATEMENT BY APPLICANT**

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Sheet 7 of 11

Confirmation Number	2971
Application Number	10/724,305
Filing Date	11/29/2003
First Named Inventor	Javier E. Castaneda
Group Art Unit	3732
Examiner Name	
Attorney Docket Number	HAN-027 DEP5687USCIP3

U.S. PATENT DOCUMENTS

Exam Initials	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number	Kind Code ² (if known)			
AK		2001/0011172		Orbay et al.	Aug-01	
		2001/0021851		Eberlein et al.	Sep-01	
		2002/0032446		Orbay	Mar-02	
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AK		2004/0111090		Dahners	Jun-04	
		2004/0260291		Jensen	Dec-04	
		2005/0004574		Muckter	Jan-05	

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Anuradha Ramana

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6/25/07

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Sheet 8 of 11

Confirmation Number	2971
Application Number	10/724,305
Filing Date	11/29/2003
First Named Inventor	Javier E. Castaneda
Group Art Unit	3732
Examiner Name	
Attorney Docket Number	HAN-027 DEP5687USCIP3

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		Number	Kind Code ² (if known)			
AR		2005/0131413		O'Driscoll et al.	Jun-05	
		2005/0154392		Medoff et al.	Jul-05	
		2005/0165400		Fernandez	Jul-05	
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		6010503		Richelsoph	Jan-00	
		6022350		Ganem	Feb-00	
AR		6053917		Sherman	Apr-00	

Examiner Signature	<i>Anuradha Ramana</i>	Date Considered	6/25/07
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Sheet 9 of 11

Confirmation Number	2971
Application Number	10/724,305
Filing Date	11/29/2003
First Named Inventor	Javier E. Castaneda
Group Art Unit	3732
Examiner Name	
Attorney Docket Number	HAN-027 DEF56871JSCIP3

U.S. PATENT DOCUMENTS

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Examiner
Signature

Annabella Lamara

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Sheet 10 of 11

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First Named Inventor	Javier E. Castaneda
Group Art Unit	3732
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Attorney Docket Number	HAN-027 DEP5687USCIP3

FOREIGN PATENT DOCUMENTS

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		Office ³	Number ⁴	KindCode ⁵				
AL		CA	2174293	A	Allard et al.	Oct-97		
		CH	675 531		Raveh	Oct-90		
		DE	195 42 116	A	Brehm	May-97		
		DE	196 29 011		Wolter	Jan-98		
		DE	33 01 298		Tournier	Feb-84		
		DE	40 04 941		Grosse et al.	Aug-90		
		DE	43 43 117	C2	Wolter	Nov-99		
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		EP	0 451 427	A1	Zweymuller et al.	May-90		
		WO	97/47251			Dec-97		
		WO	00/04836		Eberlein et al.	Feb-00		
		WO	00/36984		Wolter	Jun-00		
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		WO	04/032751		Impellizzeri	Apr-04		
		WO	04/096067		Johnstone	Nov-04		

Examiner Signature	<i>Amadeo Ramano</i>	Date Considered	6/25/07
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Sheet 11 of 11

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First Named Inventor	Javier E. Castaneda
Group Art Unit	3732
Examiner Name	
Attorney Docket Number	HAN-027 DEP5687USCIP3

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Exam Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
AK		"Advances in distal Radius Fracture Management (D)", transcript of American Academy of Orthopaedic Surgeons 2001 Conf.; pp. 134-151, Feb. 28, 2001 including Article by Matthew D. Putnam MD, "Repair and Rehabilitation of Distal Fractures: The Role of Subchondral Fixation" at pp. 144-147.	
		"Numelock II Polyaxial Locking System," STRYKER CORPORATION, brochure.	
		"SCS.TM./D Distal Radius Plate System: Dorsal", Avanta 1997.	
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		"SMARTLock Locking Screw Technology," STRYKER CORPORATION, website description, 2004, www.stryker.com	
		"Summary of Safety and Effectiveness Information"; Synthes.RTM.; Jul. 29, 1998.	
		"The Distal Radius Plate Instrument and Implant Set", Technique Guide, SYNTHES.RTM., Paoli, PA 1995.	
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		"Universal Distal Radius System", STRYKER CORPORATION, website description, 2004, www.stryker.com	
		"VAL PLATE (Volar Angle Locking) for Distal Radius Fractures", US IMPLANTS, brochure.	
		"Volar Peg Plate Insertion Technique", TRIMED, INC., brochure.	
		"Volar Radius Plate with Angular Stability", I.T.S. (IMPLANT TECHNOLOGY SYSTEMS), 510(k) Summary of Safety and Effectiveness, Feb. 6, 2004.	
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		MOFTAKHAR, Roham, M.D. and TROST, Gregory R., M.D., "Anterior Cervical Plates: A Historical Perspective", January 2004, pp. 1-5.	
		NELSON, "Volar Plating with Anatomic Placement and Fixed-Angle Screws", Quick Reference Guide for Contours VPS Volar Plate System by ORTHOFIX, May 2005, www.orthofix.com	
		PUTNAM, D. M.D., "Repair and Rehabilitation of Distal Fractures: The Role of Subchondral Fixation" at pp. 144-147.	
AK		Polyaxial and Monoaxial Spinal Screws, XIA.TM. Spinal System, www.osteonics.com/osteonics/spine/xia2.html, June 25, 2002	

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INFORMATION DISCLOSURE CITATION PAGE 1 OF 1	Atty Docket No. HAN-027	Serial No. 10/724,305
	Applicant Javier Castaneda	
	Filed November 29, 2003	Group

US PATENT DOCUMENTS							
Examiner Initials		Document No.	Date	Name	Class	Sub-class	Filing date if approp.
AR	A	6,364,882	04/02/02	Orbay	606	69	
AR	B	6,440,135	08/27/02	Orbay et al.	606	69	
	C						
	D						
	E						
	F						
	G						
	H						
	I						
	J						
	K						

FOREIGN PATENT DOCUMENTS							
Examiner Initials		Document No.	Date	Country	Class	Sub-class	Translation Yes No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		

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